

Application form – Financial Adviser School

We want to process your application as quickly as possible. It is therefore essential that all sections are completed accurately to ensure that your application can be processed efficiently.

As part of the application process we ask that you enclose an up to date credit report (preferably less than 3 months old).

To obtain a credit report, we suggest using one of the following online companies:

Noddle www.noddle.co.uk, Clearscore www.clearscore.com or Experian www.experian.co.uk

Reports can be requested online. All of the above companies now offer free credit scores, but please check the individual sites to confirm this.

1. Your details

Title (Mr, Mrs, Miss, Ms, Other)	<input type="text"/>	Forename	<input type="text"/>	Surname	<input type="text"/>								
Date of birth	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>					D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y						
Home address of applicant	<input type="text"/>												
	<input type="text"/>												
	Postcode												
Correspondence address for use with all communications given or made under or in connection with the contract (if different to the above address)	<input type="text"/>												
	<input type="text"/>												
	Postcode												

Contact details

Telephone number	<input type="text"/>	Mobile number	<input type="text"/>
E-mail	<input type="text"/>		

The Financial Adviser School is committed to ensuring that applicants are considered fairly and do not face unnecessary barriers because of their ethnic origin, national identity, gender, disability, sexual orientation, religion or other belief, age and socio-economic background.

Please state your nationality

Do you have a valid work and/or study visa for the United Kingdom (✓) Work Study Both

Will you require sponsorship to work in the United Kingdom? (✓) Yes No

If you are not of UK or EU nationality, please state the purpose that you entered the UK and your current immigration status.

If English is not your first language, what is your English language competency?

The Financial Adviser School welcomes applications from disabled people and will try to meet their needs wherever it reasonably can. The information that you give on this application form will help FAS to do this and plan the support and adjustments to be put in place for you.

Disability has a broad definition which can include physical and sensory impairments, specific learning difficulties (e.g. dyslexia), mental health difficulties and other medical conditions which are likely to have a long-term effect on you.

Do you have a disability? Yes No

If Yes, please give details

2. Programme applying for

Programme (Please tick which programme you are applying for) (✓)

Full programme (DipFA® and CeMAP®)

DipFA® only

CeMAP® only

If you would like to be introduced to firms prior to starting on the programme, please indicate here (✓) Yes No N/A

3. Qualifications

Please submit your current CV as part of your application. Also, if you hold any qualifications in financial advice, please detail them below and enclose a certified copy of your certificate(s) with your application form.

Qualification	Provider name	Result	Dates

4. Personal statement

Indicate below why you want to be a financial adviser, describing the skills and attributes you currently have to be successful.
(In no more than 200 words)



5. Declaration

Please tick the correct answers below (✓)

Have you ever:

- a) Had any criminal convictions or cautions (whether spent or otherwise)? Yes No
- b) Had any outstanding County Court Judgements (CCJs)? Yes No
- c) Been subject to bankruptcy? Yes No
- d) Entered into an IVA?
(see <https://www.gov.uk/options-for-paying-off-your-debts/individual-voluntary-arrangements>) Yes No

Please confirm that you understand:

- 1) You will be subject to DBS checks
(Disclosure and Barring Service checks before you complete your training) Yes No
- 2) Your data and your progress with the School will be shared with your sponsoring firm
(if applicable) Yes No

Who is responsible for the payment of the Financial Adviser School Fees?
(If either you or the sponsoring firm requires credit, please complete the loan application in appendix 1) Firm Learner

6. Additional information

If the answer to any of the above questions in section 5 (a-d) is yes, please provide full details here.



9. Sponsoring firm *continued*

Firm's contact details

Telephone number

Mobile number

E-mail

Throughout the programme we will keep you updated on your learner's progress. If you would like someone else within your firm to also receive this information, please fill in their details below:

Name	<input type="text"/>
Job title	<input type="text"/>
E-mail	<input type="text"/>
Telephone number	<input type="text"/>

Name	<input type="text"/>
Job title	<input type="text"/>
E-mail	<input type="text"/>
Telephone number	<input type="text"/>

10. Reference from sponsoring firm

Applicant's start date
(with the firm)

Job title

Hours worked
(per week in the office)

Overview of key responsibilities



10. Reference from sponsoring firm *continued*

Comments/Reference

I confirm that I support this application and authorise the reference provided. I have read and understood the key facts and responsibilities of the school as detailed below.

Sponsor's signature

Date

D	D	M	M	Y	Y	Y	Y
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Key facts

The Financial Adviser School will provide training to develop the soft skills and adviser skills which will contribute to the learner's successful achievement of Competent Adviser Status (CAS).

These skills will be tested by the Financial Adviser School in a protected environment via observed role play.

The application of these skills with clients will not be supervised by the Financial Adviser School and the accreditation of CAS will not be awarded by the Financial Adviser School. This is the responsibility of the sponsoring firm.

Responsibilities

The Financial Adviser School will deliver skills training as part of the overall programme. Where we identify a development need we will advise the sponsoring firm. We will also provide updates on the learner's progress through the Financial Adviser School.

The sponsoring firm (if applicable) will work with the learner on any identified development needs to bring the learner up to the required standard.

The learner will follow the training programme as delivered by the Financial Adviser School and will work with their sponsor in order to successfully attain the standards required.

For more information regarding fit and proper checks, referencing or attaining (CAS) please refer to <http://www.fca.org.uk/firms>



Appendix 1 – loan application – (if applying for credit)

Assets		
Bank current account(s)	£	
Bank deposit account(s)	£	
Building society account(s)	£	
Quoted investment(s)	£	
Total: Liquid assets	£	(A)

Liabilities		
Credit/charge/store card(s)	£	
Bank overdraft(s)	£	
Total: Liquid liabilities	£	(B)
Total liquid assets/liabilities (A-B) =		
£		(C)

Other		
Other assets	£	
Private dwellings	£	
Other real estate	£	
Motor vehicle(s)	£	
Additional assets(s)	£	
Sub Total: Other assets	£	(D)

Other liabilities		
Details of mortgages, secured loans, unsecured loans, HP and any other credit	Expiry date	Balance outstanding
		£
		£
		£
		£
		£
		£
Sub total: other liabilities		£ (E)

Total other assets/liabilities (D-E) =	£	(F)
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Total net assets/liabilities (C+F) =	£	
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Additional information

Use this section for any additional information you may wish to add in relation to your deposit and loan application
(for example how will you fund the deposit if you do not have sufficient savings?)

8. Signature

Please sign below to indicate that the information you have provided is correct.

**Applicant's
signature**

Date

D	D	M	M	Y	Y	Y	Y
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Name of applicant





Data consent form

Consent to gather special category data

As detailed within the FAS Privacy Notice, which can be found at www.quilterfaschool.co.uk/privacy-policy/, in some instances, it is necessary for us to collect more sensitive information (such as health or lifestyle information) which is called special category data. This is to allow us to provide financial services training. This is where we need to gather your consent to the collection and processing of this data. You can withdraw your consent at any time to us processing this data, however, this may mean that you can no longer access the service or product the information was gathered for. Please return this notice with your application form.

By ticking this box you are giving consent for special category personal data to be collected and stored in order for us to provide financial services training.

Date

D	D	M	M	Y	Y	Y	Y
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Electronic marketing

By ticking this box you are giving consent for special category personal data to be collected and stored in order for us to provide financial services training.

I do not wish to receive electronic marketing of relevant products or services

Signed by

Please send or email your application to:

**Financial Adviser School, Trident 3, Trident
Business Park, Styal Rd, Wythenshawe,
Manchester Airport, M22 5XB.**

Email: info@thefaschool.co.uk

Telephone: 0333 200 1716

Web: www.quilterfaschool.co.uk